



Salt Lake City Area Office
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Chicago Office
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 Chicago, IL 60606
 800-456-4576 • Fax 312-408-8081

HYDROELECTRIC

General Information

Proposed Effective Date: _____

Applicant's Name: _____

Applicant's Mailing Address: _____

City: _____ State: _____ Zip: _____

E-Mail: _____ County: _____

Business Telephone Number: () _____ Fax: () _____

Physical Location of Business (if different): _____

Population within 50 miles: _____

Other Locations Used:

Physical Address: _____

City: _____ State: _____ Zip: _____

Physical Address: _____

City: _____ State: _____ Zip: _____

Please list any other names the business is or has been known by: _____

Contact Person: _____

Producer No.: _____ Producer's Name: _____

Producer's E-mail: _____

Detailed description of business activities (specifically, and by location): _____

Is this a new business? Yes No If no, how many years have you been in business? _____

Applicant is: Individual Corporation Partnership Joint Venture

Other (please describe): _____

Annual Payroll: \$ _____

Total Number of Employees: _____ Full-Time: _____ Part-Time: _____

Does your company have within its staff of employees, a position whose job description deals with product liability, loss control, safety inspections, engineering, consulting, or other professional consultation advisory services? Yes No

If yes, please tell us:

Employee Name: _____

E-Mail: _____ Business Telephone No.: () _____

Fax: () _____ Years with Company: _____

Employee's Responsibilities: _____

1. Insurance History

Who is your current insurance carrier (or your last if no current provider)? _____

Provide name(s) for all insurance companies that have provided Applicant insurance for the last three years:

	Coverage:	Coverage:	Coverage:
Company Name			
Expiration Date			
Annual Premium	\$	\$	\$

Has the Applicant or any predecessor or related person or entity ever had a claim? Yes No

Completed Claims and Loss History form attached (REQUIRED)? Yes No

Has the Applicant, or anyone on the Applicant's behalf, attempted to place this risk in standard markets?
 Yes No

If the standard markets are declining placement, please explain why: _____

2. Desired Insurance

Limit of Liability:

- \$100,000 per accident / \$300,000 aggregate
- \$200,000 per accident / \$300,000 aggregate
- \$250,000 per accident / \$500,000 aggregate
- \$250,000 per accident / \$1,000,000 aggregate
- Other: _____

Self Insured Retention (SIR): \$1,000 (Minimum) \$1,500 \$2,500 \$5,000 \$10,000

3. Business Activities

1. Project Name: _____

2. Location: _____

3. Is the facility: Run of River Dam Diversion, or Pump Storage?

4. Annual production: _____ KWH Rated Capacity: _____ MW

5. Annual power sales: \$ _____

6. Project is: Urban Rural Remote

7. Is the project operated/maintained by You, or Others?

a. Please list the qualifications of the operator: _____

8. Is the project Manned or Unmanned?

If Unmanned, answer:

a. Frequency of visits is: Daily Weekly Monthly

b. Is there automatic notification to a supervisor in the event of a malfunction or emergency? Yes No

9. Does the project include a Dam or Diversion?

If a Dam, please submit a copy of any safety or inspection reports recently conducted (i.e. Geologic, Seismic, Army Corp of Engineers, Environmental Study, etc.). Also, answer:

a. Is it Owned or Leased?

If leased, please list the owner: _____

b. Type of Dam:

- Concrete Gravity Timber Crib Arch Embankment
 Buttress Other: _____

c. Size:

Length: _____ Height: _____
Width: _____

d. Year Built: _____

e. Reservoir capacity: _____ acre-feet

f. Are flashboards used? Yes No

If yes, are they: mechanical or wooden?

10. Check if applicable protective devices for this project:

- | | |
|---|---|
| <input type="checkbox"/> Over speed trip | <input type="checkbox"/> Reverse current |
| <input type="checkbox"/> Low lube oil | <input type="checkbox"/> Over current trip |
| <input type="checkbox"/> High vibration | <input type="checkbox"/> Loss of excitation |
| <input type="checkbox"/> Wicket gate protection | <input type="checkbox"/> Lightning protection |
| <input type="checkbox"/> Ground fault trip | |

11. Has a hazard analysis been completed? Yes No

If yes, please provide a copy of the analysis report.

12. Is there a completed site safety plan? Yes No

If yes, please provide a copy of the plan.

4. General Liability Coverage Information

13. Is the site secured with fences, locked gates or any other physical barriers? Yes No

14. Are there hazard warning signs at the premises? Yes No

15. Is the public allowed access to the premises? Yes No

16. Are there any recreational facilities on or adjacent to your premises? Yes No

17. Are there any dams, reservoirs, or other hydroelectric facilities upstream that can affect your operation?
 Yes No

If yes, please describe: _____

18. Do you have a written emergency action plan? Yes No

If yes, please provide a copy.

5. Property Coverage Information

19. Powerhouse

a. Year built: _____

b. Has the powerhouse been refurbished: Yes No

If yes, when? _____













20. Turbines

	Turbine 1	Turbine 2	Turbine 3
Type:	<input type="checkbox"/> Pelton <input type="checkbox"/> Kaplan <input type="checkbox"/> Francis <input type="checkbox"/> Bulb	<input type="checkbox"/> Pelton <input type="checkbox"/> Kaplan <input type="checkbox"/> Francis <input type="checkbox"/> Bulb	<input type="checkbox"/> Pelton <input type="checkbox"/> Kaplan <input type="checkbox"/> Francis <input type="checkbox"/> Bulb
Year Built:			
Rebuilt:	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, date rebuilt:	_____	_____	_____
	By whom: _____	By whom: _____	By whom: _____

21. Generators

	Generator 1	Generator 2	Generator 3
Type:	<input type="checkbox"/> Synchronous <input type="checkbox"/> Induction <input type="checkbox"/> Excitation type <input type="checkbox"/> Solid state <input type="checkbox"/> Brushes/Commutated	<input type="checkbox"/> Synchronous <input type="checkbox"/> Induction <input type="checkbox"/> Excitation type <input type="checkbox"/> Solid state <input type="checkbox"/> Brushes/Commutated	<input type="checkbox"/> Synchronous <input type="checkbox"/> Induction <input type="checkbox"/> Excitation type <input type="checkbox"/> Solid state <input type="checkbox"/> Brushes/Commutated
Year Built:			
Rebuilt:	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, date rebuilt:	_____	_____	_____
	By whom: _____	By whom: _____	By whom: _____

22. Transmission and Distribution

	Transformer 1	Transformer 2	Transformer 3
Size:			
Primary Voltage:			
Secondary Voltage:			
Connections (circle one):	Primary:   Secondary:  	Primary:   Secondary:  	Primary:   Secondary:  

- Structure type? _____
- Do you own transmission lines? Yes No
If yes, how long is it: _____
- Type of lightning protection: _____
- Has coordination study been accomplished and implemented? Yes No

23. Dam and Diversion

- a. Is the dam or diversion structure to be insured? Yes No
If yes, please state values: Dam: \$ _____ Diversion: \$ _____
- b. If the dam or diversion is leased, what is the replacement cost of improvements and betterments?
\$ _____

24. Penstock

- a. Is the penstock to be insured? Yes No
If yes, please state values: \$ _____
- b. Type: Steel Concrete Other: _____
- c. Is the penstock: above ground or underground?

REPRESENTATIONS AND WARRANTIES

The "Applicant" is the party to be named as the "Insured" in any insuring contract if issued. By signing this Application, the Applicant for insurance hereby represents and warrants that the information provided in the Application, together with all supplemental information and documents provided in conjunction with the Application, is true, correct, inclusive of all relevant and material information necessary for the Insurer to accurately and completely assess the Application, and is not misleading in any way. The Applicant further represents that the Applicant understands and agrees as follows: (i) the Insurer can and will rely upon the Application and supplemental information provided by the Applicant, and any other relevant information, to assess the Applicant's request for insurance coverage and to quote and potentially bind, price, and provide coverage; (ii) the Application and all supplemental information and documents provided in conjunction with the Application are warranties that will become a part of any coverage contract that may be issued; (iii) the submission of an Application or the payment of any premium does not obligate the Insurer to quote, bind, or provide insurance coverage; and (iv) in the event the Applicant has or does provide any false, misleading, or incomplete information in conjunction with the Application, any coverage provided will be deemed void from initial issuance.

The Applicant hereby authorizes the Insurer and its agents to gather any additional information the Insurer deems necessary to process the Application for quoting, binding, pricing, and providing insurance coverage including, but not limited to, gathering information from federal, state, and industry regulatory authorities, insurers, creditors, customers, financial institutions, and credit rating agencies. The Insurer has no obligation to gather any information nor verify any information received from the Applicant or any other person or entity. The Applicant expressly authorizes the release of information regarding the Applicant's losses, financial information, or any regulatory compliance issues to this Insurer in conjunction with consideration of the Application.

The Applicant further represents that the Applicant understands and agrees the Insurer may: (i) present a quote with a Sub-limit of liability for certain exposures, (ii) quote certain coverages with certain activities, events, services, or waivers excluded from the quote, and (iii) offer several optional quotes for consideration by the Applicant for insurance coverage. In the event coverage is offered, such coverage will not become effective until the Insurer's accounting office receives the required premium payment.

The Applicant agrees that the Insurer and any party from whom the Insurer may request information in conjunction with the Application may treat the Applicant's facsimile signature on the Application as an original signature for all purposes.

The Applicant acknowledges that under any insuring contract issued, the following provisions will apply:

1. A single Accident, or the accumulation of more than one Accident during the Policy Period, may cause the per Accident Limit and/or the annual aggregate maximum Limit of Liability to be exhausted, at which time the Insured will have no further benefits under the Policy.
2. The Insured may request the Insurer to reinstate the original Limit of Liability for the remainder of the Policy period for an additional coverage charge, as may be calculated and offered by the Insurer. The Insurer is under no obligation to accept the Insured's request.
3. The Applicant understands and agrees that the Insurer has no obligation to notify the Insured of the possibility that the maximum Limit of Liability may be exhausted by any Accident or combination of Accidents that may occur during the Policy Period. The Insured must determine if additional coverage should be purchased. The Insurer is expressly not obligated to make a determination about additional coverage, nor advise the Insured concerning additional coverage.
4. The Insurer is herein released and relieved from any and all responsibility to notify the Insured of the possible reduction in any applicable Limit of Liability. The Insured herein assumes the sole and individual responsibility to evaluate, consider, and initiate a request for additional coverage or reinstatement of the annual aggregate Limit of Liability which may be exhausted by any single Accident or combination of Accidents during the Policy Period.

Dated: _____

Dated: _____

Applicant:

Agent/Broker:

Signature

Signature

Print Name

Print Name